

28-Name List *Morning Brief*

Monday, September 28, 2026 · Twenty-eight names as given · Window Mon Sep 21 – Mon Sep 28 (trailing seven days) · New means after the last market open, Fri Sep 25, 9:30 a.m. ET

Across the 28 names, the window holds 31 Form 4s, 24 Form 144s, 19 press releases, three 8-Ks and one 8-A. Fourteen insiders at eleven companies reported \$509.4M of open-market sales, all of it under 10b5-1 plans; the only open-market trade outside a plan was a \$999K purchase by Salesforce director David Kirk. **The fresh decision in the window is at Sandisk: CEO David Goeckeler's first sales since the company listed took \$105.0M, 15% of his directly held shares, in four days under his first 10b5-1 plan (p. 3).** The largest item, and the main one new since Friday's open, is Henry Samueli's \$250.0M Broadcom sale: the third identical quarterly tranche of a fixed-dollar plan, its size public since Wednesday's Form 144s (p. 4). No buybacks, ATM programs, 13D/G filings, new 10b5-1 plan disclosures, earnings calls, 10-K or 10-Q filings or executive changes hit the list; the one governance item is GE's appointment of a new independent lead director.

New since the last market open (Fri Sep 25, 9:30 a.m.)

Broadcom director Henry Samueli's Form 4, filed Friday, prices the \$250.0M his entities sold on Sep 23 at an average \$356.04 under a plan adopted Dec 16, 2025, and adds a 72,474-share gift. It is the plan's third \$250.0M tranche in three quarters; Wednesday's Form 144s had already shown the 702,190 shares (p. 4).

Datadog CTO Alexis Le-Quoc's Form 4, filed Friday, reports 43,224 shares (\$10.9M) sold on Sep 23 at \$252.17 under his plan, the same count he sold on Jun 22, Jul 20 and Aug 24.

Qualcomm CEO Cristiano Amon sold 10,000 shares at \$200.00 on Friday, completing a 40,000-share plan adopted Dec 12, 2025 at an average \$190.00. Both September fills were 10,000 shares at round prices, \$195.00 and \$200.00.

Lilly announced FDA approval of Olumiant for severe alopecia areata in patients 12 and older at 5:11 p.m. Friday, and a diabetes-and-obesity access commitment for low- and middle-income countries at 6:45 a.m. today.

Routine: **Marvell** declared its quarterly \$0.06 dividend at 4:05 p.m. Friday (8-K the same day), and **Lumentum's** Wupen Yuen sold 1,000 shares at \$928.41 Friday, continuing plan sales every few days since Aug 21.

These came before Friday's open and had at least a full session to trade: Mark Zuckerberg's Form 144 for 27,474 Meta shares (\$20.4M), his first sale notice since August 2025 (Thu); Gwynne Shotwell's \$52.5M SpaceX option sale, the company's first insider sale (Form 4 Thu); Lilly's Onswik approval (Thu 6:45 a.m.) and Qualcomm's Apple license renewal (Thu 7:30 a.m.).

Ticker	Company	F4s	Last insider trade ≥ \$100K	M T W T F S S M	Reports	Note
LLY	Lilly	4	Aug 17 Jonsson, sale \$1,175.10 (plan)	◆ ○ ◆ ◆ ◆	Oct 29	► Olumiant alopecia approval after Friday's close; Onswik approved Thursday.
MRNA	Moderna	–	Sep 15 Hoge, ex-sell \$145.10 (plan)	◆	Nov 5	ESMO late-breaking data notice; no Form 4s.
AMZN	Amazon	–	Sep 1 Herrington, sale \$254.77 (plan)	◆ ◆ ◆ ◆	Oct 29	Four September note tranches listed (8-A); four releases.
META	Meta	2	Sep 21 Cox, sale \$712.76 (plan)	■ ● ■	Oct 28	Cox completes an 80K-share plan at \$712.76; Zuckerberg files a Form 144.
PLTR	Palantir	–	Sep 15 Moore, sale \$172.64 (plan)		Nov 2	No filings or releases in the window.
MSFT	Microsoft	–	Sep 14 Hood, sale \$498.27 (plan)		Oct 28	No filings or releases in the window.
GOOGL	Alphabet	–	Jul 29 Saraci, sale \$333.20 (plan)		Oct 28	No filings or releases in the window.
AMD	AMD	–	Sep 15 Norrod, sale \$503.05 (plan)		Nov 3	No filings or releases; CEO Su sold \$48.1M under a plan Sep 10.
QCOM	Qualcomm	2	Sep 25 Amon, sale \$200.00 (plan)	■ ● ◆ ■	Nov 4	► Amon completes a 40K-share plan at \$200.00 Friday; Apple license renewed Thursday.
INTC	Intel	–	Aug 11 Tan, buy \$95.00		Oct 22	No filings or releases in the window.
AVGO	Broadcom	1	Sep 23 Samueli, sale \$356.04 (plan)	■ ●	–	► Samueli's \$250.0M plan sale, the third identical tranche (p. 4).
HOOD	Robinhood	2	Sep 22 Tenev, sale \$125.33 (plan)	■ ■ ●	Nov 4	CEO Tenev sells \$62.7M under a plan; 875K shares left to Nov 20.
TSLA	Tesla	–	May 13 Taneja, ex-sell \$450.00 (plan)		Oct 21	No insider trade since May 13; no filings or releases.

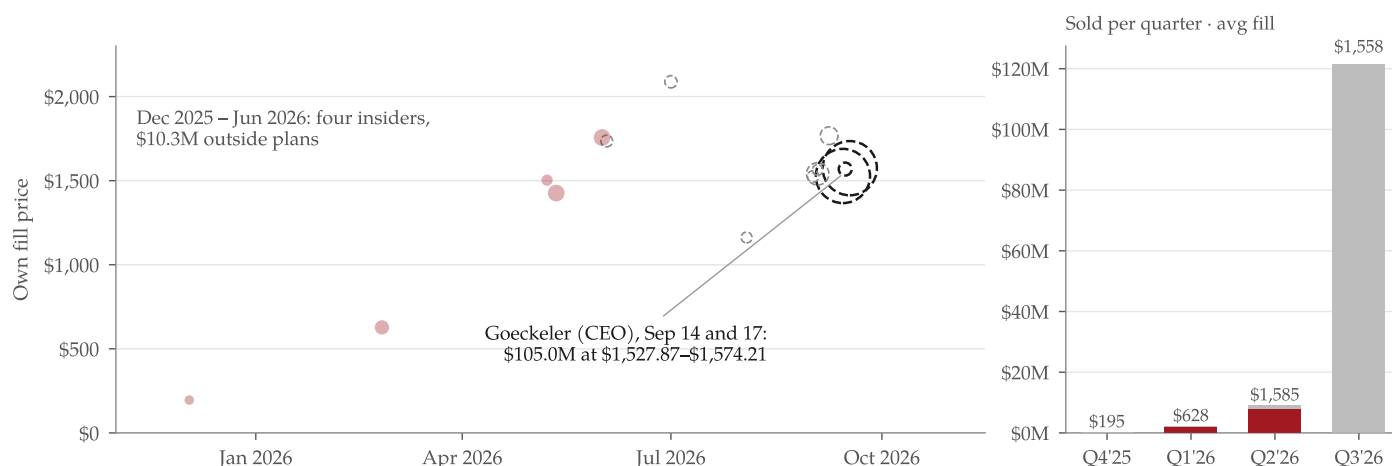
Ticker	Company	F4s	Last insider trade ≥ \$100K	M T W T F S S M	Reports	Note
SPCX	SpaceX	1	Sep 22 Shotwell, ex-sell \$153.57 (plan)	■ ●	Nov 3	COO Shotwell's \$52.5M option sale, the first insider sale since listing.
MRVL	Marvell	–	Sep 15 Murphy, sale \$223.39 (plan)	◆	–	► \$0.06 dividend declared after Friday's close; ECOC optics demos.
ARM	Arm	1	Sep 21 Child, sale \$300.00 (plan)	■ ●	–	CFO Child's second 10,400-share plan sale, at \$300.00.
LITE	Lumentum	2	Sep 25 Yuen, sale \$928.41 (plan)	◆◆◆■◆◆	Nov 5	► Yuen's plan sales every few days continue; Friday's fill \$928.41.
COHR	Coherent	–	Sep 11 Digirolomo, sale \$305.98	◆	Nov 4	PhotonLink optics platform launch; no Form 4s.
CRM	Salesforce	5	Sep 18 Kirk, buy \$239.33	▲ ○	–	Director Kirk buys \$999K at \$239.33, his largest; four officers' RSU vests.
DDOG	Datadog	2	Sep 23 Le-Quoc, sale \$252.17 (plan)	■◆◆	Nov 5	► CTO Le-Quoc's monthly 43,224-share plan sale, new; CFO completes a plan.
NBIS	Nebius	1	Sep 15 Boynton, sale \$210.36 (plan)	●	Nov 10	CRO Boroditsky's Form 4 for June–July plan sales filed months late.
MU	Micron	–	Aug 21 Mehrotra, sale \$968.90 (plan)		Sep 30	Reports FQ4 Wednesday; no filings or releases in the window.
SNDK	Sandisk	5	Sep 17 Goeckeler, sale \$1,574.21 (plan)	●○	Nov 6	CEO Goeckeler's first sales: \$105.0M in four days, new plan (p. 3).
CRWV	CoreWeave	3	Sep 22 Intrator, sale \$86.82 (plan)	○◆◆●	Nov 11	CEO's weekly plan ends Sep 30; \$4.2B convertible closed Sep 22.
GE	GE Aerospace	–	Aug 11 Giglietti, ex-sell \$369.92	◆■	Oct 20	Bush named independent lead director; Althoff joins the audit committee.
NFLX	Netflix	–	Sep 10 Barton, ex-sell \$75.77 (plan)		Oct 20	No filings or releases in the window.
NOW	ServiceNow	–	Aug 31 Fipps, sale \$147.87	◆	Oct 28	One customer release; no Form 4s.
ASTS	AST SpaceMobile	–	Sep 16 Yao, sale \$58.93 (plan)		Nov 9	No filings; director Cisneros bought \$619K at \$57.22 on Aug 31.

Strip: one slot per calendar day, Sep 21–28; ◆ press release, ■ other filing incl. Form 144, ● sale under a plan, ▲ buy, ○ award mechanics or gift; shaded from the Friday open; underlined marks are new.

Sandisk

SNDK · FQ1 report Fri Nov 6 · five Form 4s in window, none new · latest insider print \$1,574.21 (Sep 17, Goeckeler, plan sale) · no insider purchase since listing

Chief executive David Goeckeler sold \$105.0M in two trades three days apart, his first sales since Sandisk listed and 15% of the shares he held directly. The plan behind them, his first, was adopted May 29 and is 54.5% done after its first two trades.



Every Sandisk open-market insider sale since listing at its own Form 4 fill price. Area is proportional to dollars; filled = outside a plan, hollow = under a 10b5-1 plan; trades from Sep 14 in black. Side panel: dollars sold per quarter, outside plans (red) and under plans (gray), labelled with each quarter's average fill; summed from the transaction feed.

2026	Insider	Role	Shares	Fill	Value	Note
Sep 17	David Goeckeler	CEO	33,841	\$1,574.21	\$53.27M	Plan adopted May 29; Form 4 filed Mon Sep 21
Sep 14	David Goeckeler	CEO	33,838	\$1,527.87	\$51.70M	First sale of his first plan; 124,192-share maximum
Sep 15	Luis Felipe Visoso	CFO	1,000	\$1,568.83	\$1.57M	First sale under his new plan; 10,000 of 11,000 left
Sep 8	Bernard Shek	CLO	2,308	\$1,767.33	\$4.08M	Plan; about four times his usual 600
Sep 3	Alper Ilkbahar	CTO	5,112	\$1,542.40	\$7.88M	First sales under his new plan; 1,158 of 6,270 left
Jun 3–Sep 1	Bernard Shek	CLO	2,400	\$1,162–\$2,088	\$3.91M	Four monthly 600-share plan sales
Jun 1	Alper Ilkbahar	CTO	2,000	\$1,756.58	\$3.51M	Outside a plan
May 12	Michael Pokorny	CAO	2,446	\$1,426.18	\$3.49M	Outside a plan
May 8	Necip Sayiner	Director	579	\$1,503.11	\$0.87M	Outside a plan
Feb 25	Miyuki Suzuki	Director	3,500	\$627.53	\$2.20M	Outside a plan
Dec 3, 2025	Necip Sayiner	Director	1,271	\$195.14	\$0.25M	Outside a plan

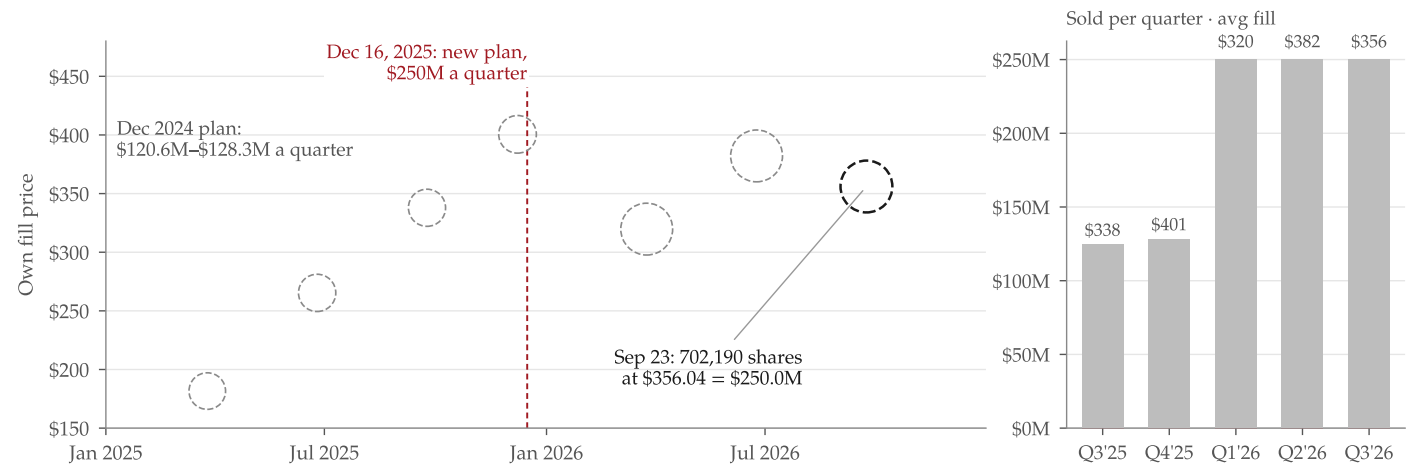
Goeckeler adopted the plan on May 29, and Sandisk disclosed it on Aug 17 together with new plans for CFO Luis Felipe Visoso and CTO Alper Ilkbahar; all three began selling in September. The plan caps Goeckeler at 124,192 shares through Sep 30, 2027. His first trade came with the stock 8.4% below its level on the adoption date, by Verity's plan data, so the plan did not wait for a higher price. He held about 447,000 shares directly before Sep 14 and about 383,000 after. The 56,513 shares left in the plan are worth \$89.0M at the last fill.

Before September, Sandisk insider selling was small and mostly outside plans: four insiders sold \$10.3M in five trades from December 2025 to June 2026, and CLO Bernard Shek sold 600 shares a month under a plan. The third quarter has carried \$121.4M, 86% of it Goeckeler's. No Sandisk insider has bought in the open market since the company listed. The other Sandisk Form 4s filed Sep 21 report RSU grants to four officers, not purchases.

Broadcom

AVGO · no report date on file before Nov 23 · one Form 4 (new) and three Form 144s in window · latest insider print \$356.04 (Sep 23, Samueli, plan sale)

Henry Samueli's \$250.0M sale is the third identical quarterly tranche of a fixed-dollar plan adopted Dec 16, 2025. The decision it reflects, doubling his quarterly sales from about \$125M, was made last December and has been visible since March.



Every Henry Samueli open-market sale since Sep 2024 at its average Form 4 fill. Area is proportional to dollars; dashed rings traded under a 10b5-1 plan; the Sep 23 tranche in black. Side panel: dollars sold per quarter, labelled with each quarter's average fill.

Date	Plan adopted	Shares	Avg fill	Value	Gift, same date	Note
Sep 23, 2026	Dec 16, 2025	702,190	\$356.04	\$250.0M	72,474	Form 4 new Friday; Form 144s filed Wednesday for the same shares
Jun 24, 2026	Dec 16, 2025	654,241	\$382.13	\$250.0M	333,401	Second tranche at \$250.0M
Mar 25, 2026	Dec 16, 2025	781,967	\$319.71	\$250.0M	422,320	First tranche at the doubled size
Dec 8, 2025	Dec 18, 2024	320,316	\$400.53	\$128.3M	68,933	Last tranche of the prior plan
Sep 24, 2025	Dec 18, 2024	368,797	\$337.91	\$124.6M	75,678	
Jun 25, 2025	Dec 18, 2024	473,898	\$265.33	\$125.7M	192,024	
Mar 26, 2025	Dec 18, 2024	663,976	\$181.60	\$120.6M	136,248	Verity flag: tenured insider's first sale

Samueli has sold once a quarter since March 2025 under two consecutive plans, each adopted in mid-December. The first sold \$120.6M to \$128.3M a tranche. The current one sold \$250.0M in March, June and September, each within \$6,200 of that figure. With the dollar amount fixed, the share count moves against the price: the September tranche took 702,190 shares at \$356.04, against 654,241 at \$382.13 in June. Verity's price-down flag on the sale reflects that arithmetic, not a choice made this quarter.

The sale was 0.8% of the 83.4M shares Samueli reported before it; he now reports 82.6M, nearly all through four entities. Its size was public on Wednesday, when D95GT LLC and H&S Investments I filed Form 144s for 631,972 and 70,218 shares; Friday's Form 4 added only the prices. Every gift made alongside a sale since March 2025 has come with a same-week Form 144 in Samueli's name, for the full gift in four of seven quarters; the Form 4 does not name the recipient. The plan runs to Dec 31 with a \$1.314B ceiling, \$564M above what it has sold, so a fourth tranche in December would fit if the cadence holds.

Other insider selling

Ticker	Insider	Date	Shares	Fill	Value	Read
HOOD	Vladimir Tenev, CEO	Sep 21–22	500,000	\$125.33–\$125.58	\$62.73M	Plan of Sep 2025: 1.625M of 2.5M sold, 875,000 left to Nov 20; 0.5% of his stake each day
SPCX	Gwynne Shotwell, COO	Sep 22	342,170	\$153.57	\$52.55M	Options struck at \$8.40–\$19.40 exercised and sold; the first insider sale since listing (Verity); 243,435 left in the plan to Jun 2027
META	Christopher Cox, CPO	Sep 21	40,000	\$712.76	\$28.51M	Completes an 80,000-share plan in three fills from \$650.28; Verity estimates a \$700 trigger
CRWV	Michael Intrator, CEO	Sep 22	307,692	\$86.82	\$26.71M	Weekly Tuesday tranche; the plan ends Sep 30 with 1,101,138 shares left
DDOG	Alexis Le-Quoc, CTO	Sep 23	43,224	\$252.17	\$10.90M	New ; fourth monthly 43,224-share sale since June
NBIS	Marc Boroditsky, CRO	Jun 15, Jul 15	26,886	\$250.00, \$197.00	\$5.54M	Form 4 filed Sep 21, two to three months late; the July sale took his direct holdings to zero
CRWV	Nitin Agrawal, CFO	Sep 22	55,764	\$86.83	\$4.84M	Similar to his Jun 16 and Jul 13 tranches (58,429; 65,055); Verity's 912% flag compares a 5,509-share sale
QCOM	Cristiano Amon, CEO	Sep 21, 25	20,000	\$195.00, \$200.00	\$3.95M	Sep 25 new ; completes a 40,000-share plan
DDOG	David Obstler, CFO	Sep 21	16,000	\$241.25	\$3.86M	Completes an 80,000-share plan adopted Jun 13
ARM	Jason Child, CFO	Sep 21	10,400	\$300.00	\$3.12M	Second 10,400-share plan sale; the first was at \$255.33 on Aug 27
LITE	Wupen Yuen, officer	Sep 23, 25	2,500	\$928.41–\$947.13	\$2.35M	Sep 25 new ; new plan, selling every few days
META	Javier Olivan, COO	Sep 21	1,575	\$680.27	\$1.07M	Weekly small plan sales

Every open-market sale in the window ran under a 10b5-1 plan. Two plans near their end with shares left. Intrator's at CoreWeave ends Sep 30 with 1,101,138 shares; one more Tuesday tranche on Sep 29 at the usual 307,692 would leave about 793,000 unsold unless the pace changes. His prior plan sold 82,455 shares every two weeks. The Sep 22 sale fell the day CoreWeave closed an upsized \$4.2B of 2.875% convertible notes due 2033, but it matched his weekly schedule, so the timing is the plan's. Tenev's plan at Robinhood has 875,000 shares left to Nov 20.

Mark Zuckerberg filed a Form 144 on Thursday for 27,474 Meta shares (\$20.4M, \$744.10 a share), marked as under a plan: his first sale notice since August 2025. A Form 144 is notice of intent; the Form 4 had not arrived by this morning.

Not sales — withholding, gifts and award mechanics

Ticker	Insider	Date	Code	Shares	Print	What it is
AVGO	Henry Samueli, director	Sep 23	G	72,474	–	Gift from D95GT LLC, 0.1% of his holdings; recipient not named; a Form 144 was filed for the same count (p. 4).
CRWV	Brian Venturo, CSO	Sep 18	G	62,500	–	Gift of shares; not a sale.
CRM	Four officers	Sep 22	M, F	8,945 / 6,090	\$233.28	RSUs vested and 6,090 shares withheld for tax (Niles, Washington, Harris, Milano).
SNDK	Four officers	Sep 17	A	4,713	–	RSU grants to the CFO, CTO, CLO and CAO per the footnotes; Goeckeler received 3,296 shares at vest (M) the same day.
LLY	Four directors	Sep 21	A	37.1 total	\$1,164.89	Fees deferred into stock units under the Directors' Deferral Plan, settled after board service.
–	Tenev (HOOD), Le-Quoc and Obstler (DDOG), Intrator (CRWV)	Sep 21–23	C, M	–	–	Derivative conversions (Class B to Class A where applicable) feeding the plan sales above; not additional sales.
SPCX	Gwynne Shotwell	Sep 22	M	342,170	–	Options exercised for the Sep 22 sale above.

Company news

	Date	Time (ET)	Ticker	Release or filing
►	Sep 28	Mon 6:45 a.m.	LLY	Change the Course commitment: diabetes and obesity care in low- and middle-income countries
►	Sep 25	Fri 5:11 p.m.	LLY	FDA approves Olumiant for severe alopecia areata, ages 12 and up
►	Sep 25	Fri 4:05 p.m.	MRVL	Quarterly dividend of \$0.06, payable Oct 29 to holders of record Oct 9 (8-K filed the same day)

Date	Time (ET)	Ticker	Release or filing
Sep 24	Thu 7:30 a.m.	QCOM	Global patent license agreement with Apple renewed
Sep 24	Thu 6:45 a.m.	LLY	FDA approves Onswik (insulin efsitora alfa), once-weekly basal insulin for type 2 diabetes
Sep 24	Thu filing	AMZN	Form 8-A lists the 5.200% 2029, 5.550% 2032, 6.250% 2038 and 6.650% 2045 notes sold Sep 9
Sep 23	Wed 5:31 p.m.	CRWV	Third consecutive Platinum ClusterMAX rating from SemiAnalysis
Sep 22	Tue filing	CRWV	8-K: closed upsized \$4.2B of 2.875% convertible senior notes due 2033, with capped calls
Sep 22	Tue filing	GE	8-K: Wesley Bush named independent lead director; Judson Althoff joins the audit committee
Sep 21	Mon 5:40 p.m.	LLY	Houston manufacturing groundbreaking, the tenth U.S. site announced since 2020
Sep 21	Mon 4:05 p.m.	COHR	PhotonLink integrated optics platform for AI infrastructure
Sep 21	Mon 10:12 a.m.	MRNA	Late-breaking data to be presented at ESMO 2026
Sep 21	Mon 9:15 a.m.	AMZN	Further \$1.9B for the Delivery Service Partner program
Sep 21–24			Also traded: three more Amazon releases, a CoreWeave customer win (Harell Data), a ServiceNow customer release, GE's quarterly dividend, and Lumentum and Marvell ECOC demos

Verity research on these names in the last 30 days: Salesforce director Kirk's buy, his fourth purchase in five quarters on the board, at a \$237.23 average cost (Sep 22); Valor Equity Partners, the firm of SpaceX director Antonio Gracias, distributing 42.8M SpaceX shares in kind on Sep 11 under a plan with about 183M shares of capacity left (Sep 16); Shotwell's sale (Sep 25); Microsoft CEO Nadella's \$43.4M post-vest sale, rated routine (Sep 3); Coherent's price-hurdle awards to five executives, vesting at up to \$757.77 (Sep 2); and AST SpaceMobile director Adriana Cisneros's \$619K buy at \$57.22 (Sep 2).

Coming up

Tue Sep 29	Wed Sep 30	Oct 20–22	Oct 28–29	Nov 2–4	Nov 5–11	Nov 20	Dec
CRWV: Intrator's weekly 307,692-share tranche, if the pattern holds	MU FQ4 CRWV: Intrator's plan ends, 1.1M shares left Q3 ends	GE · NFLX Oct 20 TSLA Oct 21 INTC Oct 22	GOOGL · META · MSFT · NOW Oct 28 AMZN · LLY Oct 29	PLTR Nov 2 AMD · SPCX Nov 3 COHR · HOOD · QCOM Nov 4	DDOG · LITE · MRNA Nov 5 SNDK Nov 6 ASTS 9 · NBIS 10 · CRWV 11	HOOD: Tenev's plan ends, 875,000 shares left	AVGO: Samuelli plan's last quarter; ends Dec 31

Mechanics. Plan: a Rule 10b5-1 trading plan, whose terms the insider sets in advance. Form 144: notice of an intended sale, not a trade. Code F: shares withheld for tax at vest; not a sale. Code A here: RSU grants (Sandisk) and director fee deferrals (Lilly); not purchases. Code M: an option or RSU converted to shares. Code C: Class B converted to Class A. Code G: gift. Exercise and sell: options exercised and the shares sold the same day.

Prices. Every price is a disclosed Form 4 print (value ÷ shares); no market-price feed is used, and a ticker list has no 13F mark. Dollar totals are summed from Verity's transaction feed, which keeps departing-insider and near-expiry sales that Verity's charts filter. Moves since plan adoption are Verity's plan-level figures.

Freshness. New means disclosed after 9:30 a.m. ET on Fri Sep 25, the last NYSE open before today. Press releases carry a timestamp (assumed ET) and are compared exactly; Form 4s, 144s and 8-Ks carry a filing date only, so any filed on Sep 25 or later counts as new.

Coverage. Twenty-eight tickers as given, in the order given, no cap. The last-trade column is by trade date and reaches back to Jun 8, where the 120-day pull hit its 300-row limit; Tesla's was pulled separately. Report dates run to Nov 23; Broadcom, Marvell, Arm and Salesforce have none on file in that range. No 10-K or 10-Q was filed in the window, so no risk-factor comparison was run. Release bodies are not in the feed, so releases are read from headlines. All data from Verity (InsiderScore).